Development of a Computer System for Automatically Identifying Text Structure

- A Preliminary Report -

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Presentation Outline

- Background
- Research Aim
- System Design
- Application to Research Abstracts
- Results
- Conclusions

Background

- Importance of Text Structure
  - Swales (1981, 1990), Carrell (1982)
    Hinds (1982, 1983), Hoey (1994), Winter (1994)
- Studies on Text Structure
  - **TITLES** - Dudley-Evans (1994), Anthony (2001)
  - **ABSTRACTS** - Ayers (1993), Posteguillo (1996)
  - **INSTRODUCTIONS** - Swales (1990), Anthony (1999)
  - **DISCUSSIONS** - Hopkins & Dudley-Evans (1988)
  - **PATENTS** - Bazerman (1994)
  - **GRANT PROPOSALS** - Connor & Mauranen (1999)
  - **LEGAL WRITING** - Bhatia (1993)

Background

- Problems with Analyzing Text Structure
  - A large corpus of text data
    (The text data must 'ACURATELY' represent what we hope to study)
  - A lot of research time
    (Time to analyze a lot of texts)
  - Good validation and reliability tests
- Most Text Structure Studies are 'Small Scale'

Background

- Swales (1981)

"In effect, the discourse analyst labels something as X and then begins to see X occurring all over the place"

Background

- Connor et al. (1999)
  - 34 Grant Proposals
- Henry et al. (2001)
  - 40 Application Letters
- Williams (1999)
  - 5 Medical Research Articles
- Tarone et al. (2000)
  - 2 Physics Research Articles
- Anthony (1999)
  - 12 Computer Science Research Article Introductions

Research Aim

- Develop a Computer System to Process and Analyze Text Structure Automatically
  - A *'Learning System'* for text structure
    - Easy to Process a Large Corpus of Text Data
    - Fast
    - The analytic process is clearly defined
    - Easy to test the reliability and validity

System Design

- 'Unsupervised Learning' vs..
  'Supervised Learning'?

- In Unsupervised Learning,
  - Give the system text examples
  - Tell the system what 'features' to look at
  - Let the system find a model (set of classes) by defining a relation between the features and the examples
  - Classify new text examples by comparison with features in each class

Unsupervised Learning

- Give the system text examples
  - Text 1: Once upon a time, there was a ugly duckling.
  - Text 2: It lived on a lake.
  - Text 3: One day, the little bird turned into a swan.
  - Text 4: It lived happily, ever, after.
- Tell the system what 'features' to look at
  - All words except articles, No punctuation
- Define a relation between features and examples
  - Class 1 - once, upon, time, there, was, ugly, duckling
  - Class 2 - one, day, little, bird, turned, into, swan
  - Class 3 - it, lived, on, lake, happily, ever, after

Unsupervised Learning

- Unsupervised learning system models often DO NOT match our models
- Classify new text examples
  - Once upon a time, there were 3 bears (BEG)
  - The 3 bears lived in a big house. (MID)
  - They all stayed in the house happily ever after. (END)
- The system will decide …
  - Class 1 (matching ‘once’, ‘upon’, ‘time’, ‘there’)
  - Class 3 (matching ‘lived’)
  - Class 3 (matching ‘happily’, ‘ever’, ‘after’)

System Design

- 'Unsupervised Learning' vs. 'Supervised Learning'?
- In Supervised Learning,
  - Give the system a structure model (set of classes)
  - Give the system examples of the model
  - Tell the system what 'features' to look at
  - Define a relation between the classes and the features
  - Classify new text examples by comparison with features in each class

Supervised Learning

- Give the system a structure model (set of classes)
  - Class 1: BEGINNING
  - Class 2: MIDDLE
  - Class 3: END
- Give the system examples of the model
  - BEG: Once upon a time, there was a ugly duckling.
  - MID: It lived on a lake.
  - MID: One day, the little bird turned into a swan.
  - END: It lived happily, ever, after.
- Tell the system what 'features' to look at
  - All words except articles..., No punctuation

Supervised Learning

- Define a relation between classes and features
  - Class 1 (BEG) - once, upon, time, there, was, ugly, duckling
  - Class 2 (MID) - it, lived, on, lake, one, day, little, bird, turned, into, swan
  - Class 3 (END) - lived, happily, ever, after
- Classify new text examples
  - Once upon a time, there were 3 bears (BEG)
  - The 3 bears lived in a big house. (MID)
  - They all stayed in the house happily ever after. (END)

Supervised Learning

- The system will decide…
  - Class 1 (BEG) (matching ‘once’, ‘upon’, ‘time’, ‘there’)
  - Class 2 (MID) (matching ‘lived’)
  - Class 3 (END) (matching ‘happily’, ‘ever’, ‘after’)
- Problem
  - We need labeled examples
  - But, many systems work well with only a few labeled examples

Application of System to Research Abstracts

- Give the system a structure model:
  'Modified' CARS Model (Swales, 1990: Anthony, 1999)

Move 1 Establishing a Territory	**1.1**	**Claiming centrality**
	1.2	**Making topic generalizations**
	1.3	**Reviewing items of previous research**
Move 2 Establishing a niche	**2.1A**	**Counter claming**
	2.1B	**Indicating a gap**
	2.1C	**Question raising**
	2.1D	**Continuing a tradition**
Move 3 Occupying the niche	**3.1A**	**Outlining purpose**
	3.1B	**Announcing present research**
	3.2	**Announcing principal findings**
	3.3	**Evaluation of research**
	3.4	**Indicating RA structure**

Application of System to Research Abstracts

- Give the system examples of the model
  - 100 Abstracts (IEEE Trans. on PDS) divided into 692 labeled 'Steps Units' (only examples from 6 classes)
  - 554 Step Units used for 'training' the system
  - 138 Step Units used for 'testing' the system
- Tell the system what 'features' to look at
  - All words (no punctuation, numbers)
  - Position of step unit in abstract (i.e. 1st, 2nd, 3rd, …)
- (Reduce 'Noise' in Features)
  - Rank words by 'importance' using frequency
  - Rank words by 'importance' using chi^2 measure
  - Use the first x no. of words (2208 words total)

Application of System to Research Abstracts

- Define a relation between features and model
  - Use probability of words (features) being in each class

    Probability = Frequency of Word/Total number of words

    - Class 1 (Claiming Centrality)
      Class 2 (Making topic generalizations)
      Class 3 (Indicating a gap)
      Class 4 (Outlining purpose)
      Class 5 (Announcing principal findings)
      Class 6 (Evaluation of research)

    - Class 1: Word 1 prob Word 2 prob Word 3 prob. …
      Class 2: Word 1 prob Word 2 prob Word 3 prob. …
      Class 3: Word 1 prob Word 2 prob Word 3 prob. …
      Class 4: Word 1 prob Word 2 prob Word 3 prob. …
      Class 5: Word 1 prob Word 2 prob Word 3 prob. …
      Class 6: Word 1 prob Word 2 prob Word 3 prob. …

Application of System to Research Abstracts

- Classify new text examples
  - For each new text, choose class with highest probability of having words (features)

    e.g. New Text only has features 3, 8, 10
  - Class 1 P= p. f3 x p. f8 x p. f10 = 1.5

    Class 2 P= … = 1.8

    Class 3 P= … = 2.7

    Class 4 P= … = 2.3

    Class 5 P= … = 1.8

    Class 6 P= … = 1.2
  - Choose Class 3

Results

- Classification Accuracy (Overall)
  - 554 Step Units used for 'training' the system
  - 138 Step Units used for 'testing' the system

No. of Features	Accuracy (Ranked by Freq)	Accuracy (Ranked by chi^2)
2208 (all)	56 %	56 %
1000	51 %	60 %
700	56 %	60 %
500	59 %	64 %
300	59 %	59 %
100	54 %	59 %

Note: Random guessing has an accuracy of 16.66% (NOT 50%!)
Choosing the most common class = 26%

Results

- Classification Accuracy (Each Step Unit)
  - Number of features = 500
  - Ranked by chi^2 measure
  - Accuracy (overall) = 64%

Class	Step 1.1	Step 1.2	Step 2.1b	Step 3.1b	Step 3.2	Step 3.3
Step 1.1	3 (43%)	3	0	1	0	0
Step 1.2	1	14 (64%)	1	2	4	0
Step 2.1b	0	1	2 (33%)	0	2	1
Step 3.1b	0	0	0	34 (92%)	3	0
Step 3.2	0	2	1	7	22 (58%)	6
Step 3.3	0	0	0	5	10	13 (46%)

Note: Classifications correspond with CARS Model 'moves'
The system makes the same mistakes as humans.

Results

- Classification Accuracy (For different data sets)
  - Number of features = 500
  - Ranked by chi^2 measure

	Accuracy (Ranked by chi^2)
Data Set 1	64 %
Data Set 2	62 %
Data Set 3	59 %
Data Set 4	56 %
Data Set 5	56 %
Ave.	**59 %**

Results

- A 'Windows' Interface
  - To enable researchers to use the system it needs to be easily accessible via a 'windows' interface
  - A preliminary 'windows' system has been built using the programming language PERL 5.6
    - The system offers suggestions about the structure of new texts
    - The structure suggestions can be edited/corrected
    - The new texts can be added to the database of training example texts

Conclusions

- A computer system was developed to analyze text structure
  - Learning method: 'Supervised Learning'
  - Training examples: 554
  - Testing example: 138
  - Accuracy 64%
- System errors are similar to those made by humans
- The accuracy needs to be improved
  - Currently working on better feature selection

Conclusions

- The system runs in a ‘windows’ environment
- The system offers ‘suggestions’ which can be edited by the user
- The ‘windows’ interface needs to be enhanced
  - I hope to make a complete environment to help researchers solve many ‘supervised learning’ problems
    - Move analysis, Text categorization, Author authenticity etc.

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